

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)
(National Phase of International App.: PCT/AU97/00747)

Applicant: Richard Edward Thomas

Serial No.: 09/297,652

Filed: May 4, 1999

For: SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

Attorney Docket No.: MIJ-001US

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231 on the date set forth below

August 19, 1999

Date of Signature
and of Mail Deposit

By

Jane E. Remillard

Registration No. 38,872

Attorney for Applicants

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Attn: Refund Section
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REQUEST FOR REFUND

Sir:

Enclosed herewith is a copy of our Deposit Account statement (Account #12-0080) dated June 30, 1999. On June 3, 1999 our account was charged \$332 for fee code 960 (PTO not ISA or IPEA) and \$306 for fee code 966 (Claims – extra total (over twenty)). However, our account should not have been charged because when we filed the above-referenced patent application on May 4, 1999, we enclosed a check for the total amount of \$638. Also enclosed is a copy of the check and a stamped postcard

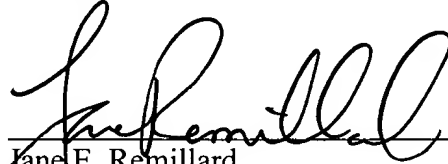
Adjustment date: 05/26/2000 RHARMON
06/03/1999 UWALKER 00066018 120080 09297652
01 FC:960 332.00 CR -638.00 DP
02 FC:966 306.00 CR

Rep'n. Ref: 05/26/2000 RHARMON 0016464000
DAH:120080 Name/Number:09297652
FC: 704 \$638.00 CR

receipt. An executed Small Entity Statement was filed in this matter on July 8, 1999, a copy of which is also enclosed.

Accordingly, please credit our Deposit Account No. 12-0080 for the \$638 fee which was erroneously charged to our account on June 3, 1999. For this purpose, we enclose a duplicate copy of this letter.

Respectfully submitted,



Jane E. Remillard
Registration No. 38,872
Attorney for Applicants

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617)227-7400

Dated: August 19, 1999


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
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MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

 To replenish your Deposit Account, detach and
 return top portion with your check. Make check
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 LAHIVE & COCKFIELD, L.L.P.
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Account No.	120080
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Page	1

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MO.	DAY	YR.						
6	1	99	13	08582333	✓ 1CPI-012CP5	148✓	110.00	✓ 5814.50
6	1	99	123	60135545	✓ 065-1 NCI-064	114✓	150.00	✓ 5664.50
6	2	99	1	08175158	✓ 061-005CP2	228✓	925.00	✓ 4739.50
6	3	99	1	08920162	✓ PPI-016CP4	102	234.00	✓ 4505.50
6	3	99	20	PAYMENT		701	13554.00	✓ 18059.50
6	3	99	55	09297652	MIJ-001US	960	332.00	✓ 17727.50
6	3	99	57	09297652	MIJ-001US	966	306.00	✓ 17421.50
6	3	99	78	09238466	TTI-184	126	240.00	✓ 17181.50
6	4	99	2	08790721	DAS-026	248	55.00	✓ 17126.50
6	4	99	16	09245536	IHM-208	102	78.00	✓ 17048.50
6	4	99	42	09317540	PAS-090	102	156.00	✓ 16892.50
6	4	99	326	PCT/US99/11941	HUI-035CPPC	150	240.00	✓ 16652.50
6	4	99	327	PCT/US99/11941	HUI-035CPPC	802	1338.00	✓ 15314.50
6	4	99	328	PCT/US99/11941	HUI-035CPPC	800	455.00	✓ 14859.50
6	4	99	329	PCT/US99/11941	HUI-035CPPC	801	610.00	✓ 14249.50
6	4	99	330	PCT/US99/11941	HUI-035CPPC	899	420.00	✓ 13829.50
6	4	99	331	PCT/US99/11941	HUI-035CPPC	566	15.00	✓ 13814.50
6	7	99	20	09153646	HDC-004	126	240.00	✓ 13574.50
6	7	99	623	PCT/US99/12134	-CPI-053PC	704	-111.00	✓ 13685.50
6	7	99	630	PCT/US99/12135	-CPI-050CPPC	704	-111.00	✓ 13796.50
6	8	99	5	09319290	✓ HKZ-015US	970 ok	840.00	✓ 12956.50
6	8	99	6	09319290	✓ HKZ-015US	966 ok	180.00	✓ 12776.50
6	8	99	7	08960555	CGR-012CPA	216	190.00	✓ 12586.50
6	8	99	8	08960555	CGR-012CPA	219	150.00	✓ 12436.50
6	8	99	42	09221236	MNI-050DIV2	102P	234.00	✓ 12202.50
6	9	99	2	09221928	-mvi-050DIV4	704	-130.00	✓ 12332.50
6	9	99	95	08860066	P FHW-020US	231P	380.00	✓ 11952.50
6	9	99	161	08464000	P IMI-018CN2	203P	63.00	✓ 11889.50
6	9	99	215	08860066	P FHW-020US	217P	380.00	✓ 11509.50
6	10	99	2	08583807	SCF-009FW	202	78.00	✓ 11431.50
6	10	99	19	75978445		361	735.00	✓ 10696.50
6	10	99	83	617676	P CVS-007	464P	15.00	✓ 10681.50
6	10	99	276	PCT/US99/12558	TMI-030PC	150	240.00	✓ 10441.50
6	10	99	277	PCT/US99/12558	TMI-030PC	802	1227.00	✓ 9214.50
6	10	99	278	PCT/US99/12558	TMI-030PC	800	455.00	✓ 8759.50
6	10	99	279	PCT/US99/12558	TMI-030PC	899	210.00	✓ 8549.50
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BOSTON, MA 02109



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SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

Office No. MI-001US

THE "RECEIVED" STAMP OF THE PATENT AND TRADEMARK OFFICE
IMPRINTED HEREON ACKNOWLEDGES THE FILING OF:

Description of Paper and No.: Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (6 sheets); Declaration, Petition and Power of Attorney (unexecuted) (3 sheets); Verified Statement Claiming Small Entity Status (1 sheet); Information Disclosure Statement (2 sheets) with Form PTO-1449 (1 sheet); Application as filed (28 sheets and 2 sheets of drawings); PCT Request (3 sheets); PCT Notification of Receipt of Record Copy (PCT/IB/301) (1 sheet); PCT Notification Concerning Submission of Priority Documents (PCT/IB/304) (1 sheet); PCT Notice Informing the Applicant of the Communication of the International Application into the Designated Offices (PCT/IB/308) (1 sheet); PCT Information Concerning Elected Offices Notified of their Election (PCT/IB/332) (1 sheet); PCT Notification of the Recording of a Change (PCT/IB/306) (1 sheet); PCT International Published Application (WO 98/19683) (with International Published Application attached) (33 sheets); PCT Notification of Transmittal of the International Search Report of the Declaration (7 sheets); PCT Demand (3 sheets); PCT Notification of Receipt of the Demand (1 sheet); PCT First Written Opinion (dated 22 June 1998) (4 sheets); Response to First Written Opinion (11 sheets); PCT Second Written Opinion (dated 23 October 1998) (5 sheets); PCT International Preliminary Examination Report (13 sheets); Certificate of Express Mailing (1 sheet); Check in the amount of \$638; and Return Postcard.

512 Rec'd PCT/PTO 09/297652
04 MAY 1999

Name of Applicant(s): Richard Edward THOMAS

Intf. or Serial No.: PCT Chapter II US National (371) Stage of PCT/AU97/00747 filed 04 Nov 1997

Atty: Jane E. Remillard, Esq

Date: 04 May 1999

*with Certificate of Express Mailing No. EL 266 737 817 US

Applicant or Patentee: Richard Edward THOMAS

Serial or Patent No.: U.S. Nat. Phase of PCT/AU97/00747

Filed or Issued: 04 November 1997

Attorney's

Packet No.: MIJ-001US

Title: SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Medical Innovations Limited

ADDRESS OF SMALL BUSINESS CONCERN Unit 2, 83-85 Whiting Street, Artarmon, NSW 2064, Australia

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.21.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☐ the specification filed herewith with title as listed above.

☒ the application identified above.

☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Jeffrey GOLDER

TITLE OF PERSON OTHER THAN OWNER Director

ADDRESS OF PERSON SIGNING 24 Bussett St Mona Vale NSW 2102

SIGNATURE Jeffrey Golder

DATE 2/6/99